

## WEST

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## Search Results - Record(s) 1 through 13 of 13 returned.

☐ 1. Document ID: US 20030130854 A1

L12: Entry 1 of 13

File: PGPB

Jul 10, 2003

PGPUB-DOCUMENT-NUMBER: 20030130854

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20030130854 A1

TITLE: Application abstraction with dialog purpose

PUBLICATION-DATE: July 10, 2003

## INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Galanes, Francisco M.	Kirkland	WA	US	
Hon, Hsiao-Wuen	Bellevue	WA	US	
Jacoby, James D.	Snohomish	WA	US	
Lecoueché, Renaud J.	Bellevue	WA	US	
Potter, Stephen F.	Seattle	WA	US	

US-CL-CURRENT: 704/277

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KMC	Draw Desc
Image												

☐ 2. Document ID: US 20030061029 A1

L12: Entry 2 of 13

File: PGPB

Mar 27, 2003

PGPUB-DOCUMENT-NUMBER: 20030061029

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20030061029 A1

TITLE: Device for conducting expectation based mixed initiative natural language dialogs

PUBLICATION-DATE: March 27, 2003

## INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Shaket, Efraim	Netanya		IL	

US-CL-CURRENT: 704/9

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KMC	Draw Desc
Image												

☐ 3. Document ID: US 20030023435 A1

L12: Entry 3 of 13

File: PGPB

Jan 30, 2003

PGPUB-DOCUMENT-NUMBER: 20030023435  
PGPUB-FILING-TYPE: new  
DOCUMENT-IDENTIFIER: US 20030023435 A1

TITLE: Interfacing apparatus and methods

PUBLICATION-DATE: January 30, 2003

## INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Josephson, Daryl Craig	Burlingame	CA	US	

US-CL-CURRENT: 704/235

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC	Draw Desc
Image												

☐ 4. Document ID: US 20020198719 A1

L12: Entry 4 of 13

File: PGPB

Dec 26, 2002

PGPUB-DOCUMENT-NUMBER: 20020198719  
PGPUB-FILING-TYPE: new  
DOCUMENT-IDENTIFIER: US 20020198719 A1

TITLE: Reusable voiceXML dialog components, subdialogs and beans

PUBLICATION-DATE: December 26, 2002

## INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Gergic, Jaroslav	Kocbere	CT	CZ	
Hosn, Rafah A.	Stamford	CT	US	
Kleindienst, Jan	Kladno	CA	CZ	
Maes, Stephane H.	Danbury		US	
Raman, Thiruvilwamalai V.	San Jose		US	
Sedivy, Jan	Praha		CZ	
Seredi, Ladislav	Praha		CZ	

US-CL-CURRENT: 704/270.1

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	KWIC	Draw Desc
Image											

☐ 5. Document ID: US 20020129342 A1

L12: Entry 5 of 13

File: PGPB

Sep 12, 2002

PGPUB-DOCUMENT-NUMBER: 20020129342

PGPUB-FILING-TYPE: new  
DOCUMENT-IDENTIFIER: US 20020129342 A1

TITLE: Data mining apparatus and method with user interface based ground-truth tool  
and user algorithms

PUBLICATION-DATE: September 12, 2002

INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Kil, David	Gilroy	CA	US	
Bradley, Andrew	Huntington Beach	CA	US	

US-CL-CURRENT: 717/137

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	KWIC	Draw Desc
Image											

☐ 6. Document ID: US 20020016710 A1

L12: Entry 6 of 13

File: PGPB

Feb 7, 2002

PGPUB-DOCUMENT-NUMBER: 20020016710  
PGPUB-FILING-TYPE: new  
DOCUMENT-IDENTIFIER: US 20020016710 A1

TITLE: Assigning meanings to utterances in a speech recognition system

PUBLICATION-DATE: February 7, 2002

INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Strong, Robert Don	San Jose	CA	US	

US-CL-CURRENT: 704/255

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	KWIC	Draw Desc
Image											

☐ 7. Document ID: US 6311157 B1

L12: Entry 7 of 13

File: USPT

Oct 30, 2001

US-PAT-NO: 6311157  
DOCUMENT-IDENTIFIER: US 6311157 B1

TITLE: Assigning meanings to utterances in a speech recognition system

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	KWIC	Draw Desc
Image											

☐ 8. Document ID: US 6094635 A

L12: Entry 8 of 13

File: USPT

Jul 25, 2000

US-PAT-NO: 6094635

DOCUMENT-IDENTIFIER: US 6094635 A

TITLE: System and method for speech enabled application

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
Image									

KWIC	Draw Desc
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☐ 9. Document ID: US 6061512 A

L12: Entry 9 of 13

File: USPT

May 9, 2000

US-PAT-NO: 6061512

DOCUMENT-IDENTIFIER: US 6061512 A

TITLE: Methods and apparatus for creating automated servers for display telephones

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
Image									

KWIC	Draw Desc
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☐ 10. Document ID: US 5983190 A

L12: Entry 10 of 13

File: USPT

Nov 9, 1999

US-PAT-NO: 5983190

DOCUMENT-IDENTIFIER: US 5983190 A

**\*\* See image for Certificate of Correction \*\***TITLE: Client server animation system for managing interactive user interface characters

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
Image									

KWIC	Draw Desc
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☐ 11. Document ID: US 5613036 A

L12: Entry 11 of 13

File: USPT

Mar 18, 1997

US-PAT-NO: 5613036

DOCUMENT-IDENTIFIER: US 5613036 A

TITLE: Dynamic categories for a speech recognition system

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
Image									

KWIC	Draw Desc
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☐ 12. Document ID: US 5390279 A

L12: Entry 12 of 13

File: USPT

Feb 14, 1995

US-PAT-NO: 5390279

DOCUMENT-IDENTIFIER: US 5390279 A

TITLE: Partitioning speech rules by context for speech recognition

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
Image									

KMIC	Draw Desc
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☐ 13. Document ID: US 5384892 A

L12: Entry 13 of 13

File: USPT

Jan 24, 1995

US-PAT-NO: 5384892

DOCUMENT-IDENTIFIER: US 5384892 A

TITLE: Dynamic language model for speech recognition

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
Image									

KMIC	Draw Desc
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Terms	Documents
L11 and (interface same speech)	13

**Display Format:**

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## WEST Search History

DATE: Wednesday, September 17, 2003

**Set Name Query**  
side by side

**Hit Count Set Name**  
result set

*DB=USPT,PGPB,JPAB; PLUR=YES; OP=OR*

L12	L11 and (interface same speech)	13	L12
L11	L10 and human and interact\$	32	L11
L10	L4 and (flow or tree or graph) and (speech or spoken)	62	L10
L9	L and (flow or tree or graph) and (speech or spoken)	12076	L9
L8	L7 and (speech or spoken)	1	L8
L7	L6 and tree	13	L7
L6	L5	27	L6
L5	L4 and (human same computer same interact\$)	27	L5
L4	L3 and (dialog or dialogue)	269	L4
L3	object same (interpreter or interpretor)	1844	L3
L2	L1 and (interpreter or interpretor)	1	L2
L1	6246981	15	L1

END OF SEARCH HISTORY

# WEST Search History

DATE: Wednesday, September 17, 2003

**Set Name Query**  
side by side

**Hit Count Set Name**  
result set

*DB=USPT,PGPB,JPAB; PLUR=YES; OP=OR*

L15	L1 and l12	12	L15
L14	l10 and L12	2	L14
L13	l11 and L12	0	L13
L12	flow same (dialog or dialogue) same interpret\$	63	L12
L11	L9 and interpreter and associated	62	L11
L10	L9 and (associated and instan\$)	77	L10
L9	L5 and (translat\$ same (classes or class or object))	79	L9
L8	L7 and (translat\$ same (classes or class or object))	63	L8
L7	L6 and (file same (object or data))	82	L7
L6	L5 and (transition or markov\$)	104	L6
L5	L4 and (spoken or convers\$)	172	L5
L4	L3 and (flow or graph or graphical)	221	L4
L3	L1 and (interpre\$ same object)	230	L3
L2	L1 and (interpre\$ same objec)	0	L2
L1	speech and recogn\$ and (dialog or dialogue) and human and computer	1376	L1

END OF SEARCH HISTORY

# WEST Search History

DATE: Thursday, September 11, 2003

<u>Set Name</u> side by side	<u>Query</u>	<u>Hit Count</u>	<u>Set Name</u> result set
<i>DB=USPT,PGPB,JPAB; PLUR=YES; OP=OR</i>			
L10	l7 and l2	4	L10
L9	l7 and l5	5	L9
L8	l4 not L7	26	L8
L7	L4 and strength	7	L7
L6	L4 amd strength	910037	L6
L5	L4 and rule	9	L5
L4	L1 and (netlist or net) and channel	33	L4
L3	L2 and netlist	3	L3
L2	L1 and (drc or (design same rule))	9	L2
L1	gate same noise same check\$	348	L1

END OF SEARCH HISTORY



**WEST**[Generate Collection](#)[Print](#)**Search Results - Record(s) 1 through 12 of 12 returned.**☒ 1. Document ID: US 20030051037 A1

L15: Entry 1 of 12

File: PGPB

Mar 13, 2003

PGPUB-DOCUMENT-NUMBER: 20030051037  
PGPUB-FILING-TYPE: new  
DOCUMENT-IDENTIFIER: US 20030051037 A1

TITLE: Open portal interface manager

PUBLICATION-DATE: March 13, 2003

## INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Sundaram, Mukesh	San Jose	CA	US	
Dharmadhikari, Rajiv	Milpitas	CA	US	

APPL-NO: 09/ 993802 [PALM]  
DATE FILED: November 5, 2001

## RELATED-US-APPL-DATA:

Application is a non-provisional-of-provisional application 60/297837, filed June 12, 2001,

INT-CL: [07] G06 F 15/16

US-CL-PUBLISHED: 709/227

US-CL-CURRENT: 709/227

REPRESENTATIVE-FIGURES: 2

## ABSTRACT:

Call control operations are performed at an application server communicatively coupled as a session initiation protocol (SIP) proxy between a media gateway and a media server according to application profiles for one or more automated communication applications to be executed by the media server according to voice extensible markup language (VXML) instructions, the call control operations being performed in response to events that occur during execution of the automated communication applications, said events including failures of the automated communication applications. The events may be one or more of: a timeout or other errors during communication between the media server and a document server, a call transfer process initiated by the media server, a call queuing operation initiated by the media server, a script execution initiated by an enterprise call router communicatively coupled to the application server, or a carrier-based transfer connect process requested by the media server.

## RELATED APPLICATION

[0001] This application is related to and hereby claims the priority date of U.S. Provisional Application No. 60/297,837, entitled "Open Portal Interface Manager",

filed Jun. 12, 2001 by the present inventors.

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC
Draw Desc	Image										

☐ 2. Document ID: US 20030016252 A1

L15: Entry 2 of 12

File: PGPB

Jan 23, 2003

PGPUB-DOCUMENT-NUMBER: 20030016252

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20030016252 A1

TITLE: Method and system for implicitly resolving pointing ambiguities in human-computer interaction (HCI)

PUBLICATION-DATE: January 23, 2003

INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Noy, David	Tel Aviv		IL	
Yeshurun, Yehezkel	Givatayim		IL	

ASSIGNEE-INFORMATION:

NAME	CITY	STATE	COUNTRY	TYPE	CODE
RAMOT UNIVERSITY AUTHORITY FOR APPLIED RESEARCH & INDUSTRIAL DEVELOPMENT, LTD.					03

APPL-NO: 09/ 824045 [PALM]

DATE FILED: April 3, 2001

INT-CL: [07] G06 F 3/00

US-CL-PUBLISHED: 345/856; 345/860

US-CL-CURRENT: 345/856; 345/860

REPRESENTATIVE-FIGURES: 4

ABSTRACT:

A method and system for implicitly resolving pointing ambiguities in human-computer interaction by implicitly analyzing user movements of a pointer toward a user targeted object located in an ambiguous multiple object domain and predicting the user targeted object, using different categories of heuristic (statically and/or dynamically learned) measures, such as (i) implicit user pointing gesture measures, (ii) application context, and (iii) number of computer suggestions of each predicted user targeted object. Featured are user pointing gesture measures of (1) speed-accuracy tradeoff, referred to as total movement time (TMT), and, amount of fine tuning (AFT) or tail-length (TL), and, (2) exact pointer position. A particular application context heuristic measure used is referred to as containment hierarchy. The invention is widely applicable to resolving a variety of different types of pointing ambiguities such as composite object types of pointing ambiguities, involving different types of pointing devices, and which are widely applicable to essentially any type of software and/or hardware methodology involving using a pointer, such as in computer aided design (CAD), object based graphical editing, and text editing.

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KWIC
Draw Desc	Image										

☐ 3. Document ID: US 20020198719 A1

L15: Entry 3 of 12

File: PGPB

Dec 26, 2002

PGPUB-DOCUMENT-NUMBER: 20020198719

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020198719 A1

TITLE: Reusable voiceXML dialog components, subdialogs and beans

PUBLICATION-DATE: December 26, 2002

## INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Gergic, Jaroslav	Kocbere	CT	CZ	
Hosn, Rafah A.	Stamford	CT	US	
Kleindienst, Jan	Kladno	CA	CZ	
Maes, Stephane H.	Danbury		US	
Raman, Thiruvilwamalai V.	San Jose		US	
Sedivy, Jan	Praha		CZ	
Seredi, Ladislav	Praha		CZ	

## ASSIGNEE-INFORMATION:

NAME	CITY	STATE	COUNTRY	TYPE	CODE
International Business Machines Corporation	Armonk	NY			03

APPL-NO: 10/ 007084 [PALM]

DATE FILED: December 4, 2001

## RELATED-US-APPL-DATA:

Application is a non-provisional-of-provisional application 60/251085, filed December 4, 2000,

INT-CL: [07] G10 L 21/00

US-CL-PUBLISHED: 704/270.1

US-CL-CURRENT: 704/270.1

REPRESENTATIVE-FIGURES: 5

## ABSTRACT:

Systems and methods for building speech-based applications using reusable dialog components based on VoiceXML (Voice eXtensible Markup Language). VoiceXML reusable dialog components can be used for building a voice interface for use with multi-modal, multi-channel and conversational applications that offer universal access to information anytime, from any location, using any pervasive computing device regardless of its I/O modality. In one embodiment, a framework for reusable dialog components built within the VoiceXML specifications is based on the <subdialog> tag and ECMAScript parameter objects to pass parameters, configuration and results. This solution is interpreted at the client side (VoiceXML browser). In another embodiment, a framework for reusable dialog components is based on JSP (Java Server Pages) and beans that generate VoiceXML subdialogs. This solution can be evaluated at the server side. These frameworks can be mixed and matched depending on

the application.

#### CROSS-REFERENCE TO RELATED APPLICATION

[0001] This application is based on, and claims priority to, U.S. Provisional Application No. 60/251,085, filed on Dec. 4, 2000, which is fully incorporated herein by reference.

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	KWIC
Draw Desc	Image									

#### ☐ 4. Document ID: US 20020091991 A1

L15: Entry 4 of 12

File: PGPB

Jul 11, 2002

PGPUB-DOCUMENT-NUMBER: 20020091991

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20020091991 A1

TITLE: Unified real-time microprocessor computer

PUBLICATION-DATE: July 11, 2002

#### INVENTOR-INFORMATION:

NAME	CITY	STATE	COUNTRY	RULE-47
Castro, Juan Carlos	Miami	FL	US	

APPL-NO: 09/ 852834 [PALM]

DATE FILED: May 10, 2001

#### RELATED-US-APPL-DATA:

Application is a non-provisional-of-provisional application 60/203575, filed May 11, 2000,

INT-CL: [07] G06 F 9/44

US-CL-PUBLISHED: 717/106

US-CL-CURRENT: 717/106

REPRESENTATIVE-FIGURES: 8

#### ABSTRACT:

A multiport revolving chambered homing binary hunting metallic track encasing hermetic data link caster dart castings constrained new software parallel redundancy cosmos robotizing unified real-time microprocessor machine language computer whose universal dominion domain outline involves automatizing real-time holistically steady state synchronized ubiquitous continuum sub-loculated cyclical parallel redundancy cosmos robotizing unified real-time microprocessor computer logic instructions of multiport revolving chambered homing binary hunting metallic track encasing hermetic data link caster dart castings constrained new end-user graphical human apostrophe interface syntactic synthesis real-time software programs block; multiport revolving chambered homing binary hunting metallic track encasing hermetic data link caster dart castings constrained new algebraic problem-solving application syntactic synthesis real-time software programs block; multiport revolving chambered homing binary hunting metallic track encasing hermetic data link caster dart castings constrained new robotizing gauge indicating guidance syntactic synthesis real-time software programs block; multiport revolving chambered homing binary hunting metallic track encasing hermetic data link caster dart castings constrained

new commerce and transactional exchange methodology syntactic synthesis real-time software programs block; multiport revolving chambered homing binary hunting metallic track encasing hermetic data link caster dart castings constrained new commerce and trade languages combinatorial syntactic synthesis real-time software programs block; a complete real-time microprocessor logic instructing compact integrated originating real-time software generator AND-OR closed-circuitry microprocessor operating system block concretizing rubric identic automatizing real-time holistically steady state synchronized ubiquitous continuum universal executive microprogrammable systematic codified microprocessor machine language logic operator instructions of one-time programmable read-only memory (PROM); a multiport revolving chambered homing binary hunting metallic track encasing hardcore software accumulator controlling central processing unit (CPU).

## CROSS-REFERENCE TO RELATED APPLICATION

[0001] This application is entitled to the benefit of Provisional Patent Application titled "Real-Time Capital Market Operating System," assigned Serial No. 60/203,575, filed on May 11, 2000.

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	K00C
Draw Desc	Image									

☐ 5. Document ID: US 6606596 B1

L15: Entry 5 of 12

File: USPT

Aug 12, 2003

US-PAT-NO: 6606596

DOCUMENT-IDENTIFIER: US 6606596 B1

TITLE: System and method for the creation and automatic deployment of personalized, dynamic and interactive voice services, including deployment through digital sound files

DATE-ISSUED: August 12, 2003

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Zirngibl; Michael	Washington	DC		
Patnaik; Anurag	Arlington	VA		
Maass; Bodo	Arlington	VA		
Eberle; Hannes	Arlington	VA		

## ASSIGNEE-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY	TYPE CODE
Microstrategy, Incorporated	Vienna	VA			02

APPL-NO: 09/ 454598 [PALM]

DATE FILED: December 7, 1999

## PARENT-CASE:

This application claims the benefit of Provisional application Ser. No. 60/153,222 , filed Sep. 13, 1999.

INT-CL: [07] G10 L 21/06, G10 L 15/28, G10 L 17/00, G06 F 15/16, G09 G 5/00

US-CL-ISSUED: 704/270; 704/246, 704/251, 704/231, 345/752, 709/206

US-CL-CURRENT: 704/270; 345/752, 704/231, 704/246, 704/251, 709/206

FIELD-OF-SEARCH: 704/270, 704/270.1, 704/275, 704/260, 704/231, 704/241, 704/251,  
345/752, 709/206

PRIOR-ART-DISCLOSED:

U.S. PATENT DOCUMENTS

PAT-NO	ISSUE-DATE	PATENTEE-NAME	US-CL
<u>4156868</u>	May 1979	Levinson	704/251
<u>4633293</u>	December 1986	Powers	348/441
<u>4757525</u>	July 1988	Matthews et al.	379/88.26
<u>4812843</u>	March 1989	Champion, III et al.	340/905
<u>4837798</u>	June 1989	Cohen et al.	379/88.14
<u>4868866</u>	September 1989	Williams, Jr.	702/9
<u>4941168</u>	July 1990	Kelly, Jr.	379/69
<u>4953085</u>	August 1990	Atkins	705/36
<u>4972504</u>	November 1990	Daniel, Jr. et al.	705/10
<u>4989141</u>	January 1991	Lyons et al.	705/36
<u>5021953</u>	June 1991	Webber et al.	705/6
<u>5045932</u>	September 1991	Sharman et al.	358/527
<u>5101352</u>	March 1992	Rembert	705/8
<u>5128861</u>	July 1992	Kagami et al.	705/10
<u>5168445</u>	December 1992	Kawashima et al.	705/10
<u>5189608</u>	February 1993	Lyons et al.	705/30
<u>5204821</u>	April 1993	Inui et al.	700/106
<u>5235680</u>	August 1993	Bijnagate	707/10
<u>5237499</u>	August 1993	Garback	705/5
<u>5243433</u>	September 1993	Hailey	348/445
<u>5270922</u>	December 1993	Higgins	705/37
<u>5284598</u>	February 1994	Subramanyam et al.	348/441
<u>5327235</u>	July 1994	Richards	348/441
<u>5331346</u>	July 1994	Shields et al.	348/441
<u>5347632</u>	September 1994	Filepp et al.	709/202
<u>5371787</u>	December 1994	Hamilton	379/386
<u>5404400</u>	April 1995	Hamilton	379/386
<u>5406626</u>	April 1995	Ryan	704/275
<u>5444491</u>	August 1995	Lim	348/441
<u>5457904</u>	October 1995	Colvin	40/119
<u>5479491</u>	December 1995	Garcia et al.	379/88.16
<u>5500793</u>	March 1996	Deming, Jr. et al.	705/37
<u>5502637</u>	March 1996	Beaulieu et al.	705/36
<u>5519438</u>	May 1996	Elliott et al.	348/441
<u>5532749</u>	July 1996	Hong	348/441
<u>5537157</u>	July 1996	Washino et al.	348/722
<u>5555403</u>	September 1996	Cambot et al.	707/4
<u>5572643</u>	November 1996	Judson	709/218
<u>5572644</u>	November 1996	Liaw et al.	707/531
<u>5576951</u>	November 1996	Lockwood	705/27
<u>5577165</u>	November 1996	Takebayashi et al.	704/275
<u>5590181</u>	December 1996	Hogan et al.	379/114.1
<u>5600377</u>	February 1997	David et al.	348/441
<u>5604528</u>	February 1997	Edwards et al.	725/25

<u>5608464</u>	March 1997	Woodham	348/441
<u>5617218</u>	April 1997	Rhodes	348/441
<u>5630060</u>	May 1997	Tang et al.	709/238
<u>5638424</u>	June 1997	Denio et al.	379/88.8
<u>5638425</u>	June 1997	Meador, III et al.	704/231
<u>5664115</u>	September 1997	Fraser	705/37
<u>5684992</u>	November 1997	Abrams et al.	709/314
<u>5689650</u>	November 1997	McClelland et al.	705/36
<u>5692181</u>	November 1997	Anand et al.	707/102
<u>5701383</u>	December 1997	Russo et al.	386/46
<u>5701451</u>	December 1997	Rogers et al.	707/1
<u>5706442</u>	January 1998	Anderson et al.	205/27
<u>5710889</u>	January 1998	Clark et al.	235/379
<u>5712901</u>	January 1998	Meermans	
<u>5715370</u>	February 1998	Luther et al.	704/270.1
<u>5717923</u>	February 1998	Dedrick	207/102
<u>5724101</u>	March 1998	Haskin	348/441
<u>5724410</u>	March 1998	Parvulescu et al.	379/88.12
<u>5724525</u>	March 1998	Beyers, II et al.	705/40
<u>5732216</u>	March 1998	Logan et al.	704/201
<u>5737393</u>	April 1998	Wolf	379/88.13
<u>5740829</u>	April 1998	Jacobs et al.	137/15.08
<u>5742429</u>	April 1998	Wang et al.	707/104.1
<u>5742775</u>	April 1998	King	705/38
<u>5748959</u>	May 1998	Reynolds	709/106
<u>5754248</u>	May 1998	Faroudja	348/441
<u>5754858</u>	May 1998	Broman et al.	717/111
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#### ABSTRACT:

A system and method for the creation and automatic deployment of personalized, dynamic and interactive voice services, including information derived from on-line analytical processing (OLAP) systems and other data repositories is disclosed. In particular, the system and method include the ability to deploy voice services through a digital sound file. The system and method access personalized information and generate personalized markup documents from the personalized information. The personalized markup document is used to generate a sound file that is made available to a subscriber of the voice service, for example, through an e-mail or by posting to a web site.

20 Claims, 16 Drawing figures

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
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## PARENT-CASE:

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ART-UNIT: 2645

PRIMARY-EXAMINER: Tsang; Fan

ASSISTANT-EXAMINER: Escalante; Ovidio

ATTY-AGENT-FIRM: Mintz Levin Cohn Ferris Glovsky & Popeo PC

ABSTRACT:

A method and system for accomplishing real-time drilling in conjunction with interactive, real-time, voice transmission of information to a user is disclosed. A voice-based communication between a user and a first system is established and a report is transmitted to the user. The report might comprise information and at least one request for user input based on said information. In response to the report, the user can request that additional information be drawn from the report via drilling. The requested information is extracted from the original report in real-time.

19 Claims, 15 Drawing figures

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
Draw Desc	Image								

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☐ 7. Document ID: US 6513009 B1

L15: Entry 7 of 12

File: USPT

Jan 28, 2003

US-PAT-NO: 6513009

DOCUMENT-IDENTIFIER: US 6513009 B1

TITLE: Scalable low resource dialog manager

DATE-ISSUED: January 28, 2003

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Comerford; Liam David	Carmel	NY		
Fernhout; Paul Derek	Chappaqua	NY		
Frank; David Carl	Ossining	NY		

ASSIGNEE-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY	TYPE	CODE
International Business Machines Corporation	Armonk	NY			02	

APPL-NO: 09/ 460961 [PALM]  
DATE FILED: December 14, 1999

PARENT-CASE:

CROSS REFERENCE TO RELATED APPLICATIONS The present invention is related to U.S. patent applications Ser. No. 09/460,077 entitled "Personal Speech Assistant", Ser. No. 09/460,913 entitled "Methods and Apparatus for Contingent Transfer and Execution of Spoken Language Interfaces", and Ser. No. 09/460,921 entitled "Methods and Apparatus for Synchronizing Voice and Text Data in Accordance with Computing Devices", all commonly assigned to International Business Machines Corporation, Armonk, N.Y. and filed concurrently herewith, the disclosures of which are incorporated herein by reference.

INT-CL: [07] G10 L 21/00

US-CL-ISSUED: 704/270  
US-CL-CURRENT: 704/270

FIELD-OF-SEARCH: 704/270.1, 704/270

PRIOR-ART-DISCLOSED:

U.S. PATENT DOCUMENTS

PAT-NO	ISSUE-DATE	PATENTEE-NAME	US-CL
<u>5488569</u>	January 1996	Kaplan et al.	379/201.03
<u>5748974</u>	May 1998	Johnson	704/9
<u>5850629</u>	December 1998	Holm et al.	704/260
<u>5870709</u>	February 1999	Bernstein	434/156
<u>5999904</u>	December 1999	Brown et al.	704/200
<u>6044347</u>	March 2000	Abella et al.	704/272
<u>6061646</u>	May 2000	Martino et al.	379/88.06

ART-UNIT: 2645

PRIMARY-EXAMINER: Dorvil; Richemond

ASSISTANT-EXAMINER: Opsasnick; Michael N.

ATTY-AGENT-FIRM: Otterstedt; Paul J. Ryan, Mason & Lewis, LLP

ABSTRACT:

A spoken language interface between a user and at least one application or system

includes a dialog manager operatively coupled to the application or system, an audio input system, an audio output system, a speech decoding engine and a speech synthesizing engine; and at least one user interface data set operatively coupled to the dialog manager, the user interface data set representing spoken language interface elements and data recognizable by the application. The dialog manager enables connection between the input audio system and the speech decoding engine such that a spoken utterance provided by the user is provided from the input audio system to the speech decoding engine. The speech decoding engine decodes the spoken utterance to generate a decoded output which is returned to the dialog manager. The dialog manager uses the decoded output to search the user interface data set for a corresponding spoken language interface element and data which is returned to the dialog manager when found, and provides the spoken language interface element associated data to the application for processing in accordance therewith. The application, on processing that element, provides a reference to an interface element to be spoken. The dialog manager enables connection between the audio output system and the speech synthesizing engine such that the speech synthesizing engine which, accepting data from that element, generates a synthesized output that expresses that element, the audio output system audibly presenting the synthesized output to the user.

44 Claims, 15 Drawing figures

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
Draw Desc	Image								

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☐ 8. Document ID: US 6510411 B1

L15: Entry 8 of 12

File: USPT

Jan 21, 2003

US-PAT-NO: 6510411

DOCUMENT-IDENTIFIER: US 6510411 B1

TITLE: Task oriented dialog model and manager

DATE-ISSUED: January 21, 2003

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
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Dahl; Deborah A.	Plymouth Meeting	PA		
Linebarger; Marcia C.	Elkins Park	PA		

ASSIGNEE-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY	TYPE CODE
Unisys Corporation	Blue Bell	PA			02

APPL-NO: 09/ 430315 [PALM]

DATE FILED: October 29, 1999

INT-CL: [07] G10 L 15/04

US-CL-ISSUED: 704/254; 704/256, 704/251, 704/260, 704/257, 704/275, 379/67, 379/76, 379/88.01, 379/88.03

US-CL-CURRENT: 704/254; 379/76, 379/88.01, 379/88.03, 704/251, 704/256, 704/257, 704/260, 704/275

FIELD-OF-SEARCH: 704/250, 704/254, 704/251, 704/256, 704/257, 704/275, 704/270, 704/260, 379/67, 379/76, 379/88.01, 379/88.03, 706/61

## PRIOR-ART-DISCLOSED:

## U.S. PATENT DOCUMENTS

PAT-NO	ISSUE-DATE	PATENTEE-NAME	US-CL
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<u>5748974</u>	May 1998	Johnson	395/759
<u>5794193</u>	August 1998	Gorin	704/250
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<u>6173261</u>	January 2001	Arai et al.	704/257
<u>6192110</u>	February 2001	Abella et al.	379/88.01
<u>6246981</u>	June 2001	Papineni et al.	704/235
<u>6246986</u>	June 2001	Ammicht et al.	704/270
<u>6269336</u>	July 2001	Ladd et al.	704/270
<u>6321198</u>	November 2001	Hank et al.	704/270

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ART-UNIT: 2654

PRIMARY-EXAMINER: Chawan; Vijay

ATTY-AGENT-FIRM: Washburn; Woodcock Rode; Lise A. Starr; Mark T.

## ABSTRACT:

A simplification of the process of developing call or dialog flows for use in an Interactive Voice Response system is provided. Three principal aspects of the invention include a task-oriented dialog model (or task model), development tool and a Dialog Manager. The task model is a framework for describing the application-specific information needed to perform the task. The development tool is an object that interprets a user specified task model and outputs information for a spoken dialog system to perform according to the specified task model. The Dialog Manager is a runtime system that uses output from the development tool in carrying out interactive dialogs to perform the task specified according to the task model. The Dialog Manager conducts the dialog using the task model and its built-in knowledge of dialog management. Thus, generic knowledge of how to conduct a dialog is separated from the specific information to be collected in a particular application. It is only necessary for the developer to provide the specific information about the structure of a task, leaving the specifics of dialog management to the Dialog Manager. Computer-readable media are included having stored thereon computer-executable instructions for performing these methods such as specification of the top level task and performance of a dialog sequence for completing the top level task.

17 Claims, 13 Drawing figures

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☐ 9. Document ID: US 6504914 B1

L15: Entry 9 of 12

File: USPT

Jan 7, 2003

US-PAT-NO: 6504914

DOCUMENT-IDENTIFIER: US 6504914 B1

TITLE: Method for dialog control of voice-operated information and call information services incorporating computer-supported telephony

DATE-ISSUED: January 7, 2003

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Brademann; Lutz	Berlin			DE
Mueller; Christel	Schulzendorf			DE
Mundin; Thomas	Neuenhagen			DE
Ziem; Thomas	Zepernick			DE
Wetzel; Romeo Peter	Stuttgart			DE
Parus; Hardy	Berlin			DE

## ASSIGNEE-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY	TYPE CODE
Deutsche Telekom AG	Bonn			DE	03

APPL-NO: 09/ 446161 [PALM]

DATE FILED: December 16, 1999

## FOREIGN-APPL-PRIORITY-DATA:

COUNTRY	APPL-NO	APPL-DATE
DE	197 25 421	June 16, 1997

## PCT-DATA:

APPL-NO	DATE-FILED	PUB-NO	PUB-DATE	371-DATE	102 (E) -DATE
PCT/EP98/03606	June 16, 1998	W098/58487	Dec 23, 1998		

INT-CL: [07] H04 M 1/64, H04 M 3/42, H04 M 3/00

US-CL-ISSUED: 379/88.16; 379/67.1, 379/76, 379/88.01, 379/88.04, 379/88.17, 379/88.18, 379/201.01, 379/265.09, 379/266.07

US-CL-CURRENT: 379/88.16; 379/201.01, 379/265.09, 379/266.07, 379/67.1, 379/76, 379/88.01, 379/88.04, 379/88.17, 379/88.18

FIELD-OF-SEARCH: 379/67.1, 379/76, 379/80, 379/88.01, 379/88.04, 379/88.13, 379/88.16, 379/88.17, 379/88.18, 379/201.01, 379/218.01, 379/265.01, 379/265.09

## PRIOR-ART-DISCLOSED:

U.S. PATENT DOCUMENTS

PAT-NO	ISSUE-DATE	PATENTEE-NAME	US-CL
<u>5181237</u>	January 1993	Dowden et al.	379/88
<u>5530852</u>	June 1996	Meske, Jr. et al.	395/600
<u>5652789</u>	July 1997	Miner et al.	
<u>5915010</u>	June 1999	McCalmont	379/212
<u>6064666</u>	May 2000	Wilner et al.	370/352

## OTHER PUBLICATIONS

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\* Lawrence R. Rabiner., "Speech-Processing Applications: The Goals for 2001," AT&T Technology.

\* Christel Muller et al., "Dialogue Design Principles--Key for Usability of Voice Processing."

ART-UNIT: 2645

PRIMARY-EXAMINER: Hoosain; Allan

ATTY-AGENT-FIRM: Kenyon & Kenyon

## ABSTRACT:

A method provides customer with simple and flexible dialog control and faster access to the desired information. In response to a customer call, a control program for dialog control created with the aid of a graphical editor as a flow chart is started, once access authorization is checked. All of the computer-supported telephony (CTI) information input modules and information output modules provided within the framework of the dialog control service in question, which are subject to continuous monitoring, are simultaneously made available in parallel to the customer via a control module for controlling the resources. The customer can actively intervene in the dialog already during the welcome via the information input modules allocated to him. The dialog commences again at the place designated by the customer. The method is suitable for at least information, news and connection services which are based on very significant parallelism and which are configured for mass telephony.

2 Claims, 3 Drawing figures

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
Draw Desc	Image								

KMC

☐ 10. Document ID: US 6263051 B1

L15: Entry 10 of 12

File: USPT

Jul 17, 2001

US-PAT-NO: 6263051

DOCUMENT-IDENTIFIER: US 6263051 B1

TITLE: System and method for voice service bureau

DATE-ISSUED: July 17, 2001

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Saylor; Michael J.	Vienna	VA		
Zirngibl; Michael	Washington	DC		
Patnaik; Anurag	Arlington	VA		
Tsai; Sean S.	Vienna	VA		
Eberle; Hannes	Arlington	VA		
Mosle; Wolf	McLean	VA		
Santa Ana; Alberto	Falls Church	VA		

## ASSIGNEE-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY	TYPE CODE
Microstrategy, Inc.	Vienna	VA			02

APPL-NO: 09/ 454604 [PALM]

DATE FILED: December 7, 1999

## PARENT-CASE:

This application claims benefit of Provisional Appln 60/153,222 filed Sep. 13, 1999.

INT-CL: [07] H04 M 1/64

US-CL-ISSUED: 379/88.17; 379/88.22

US-CL-CURRENT: 379/88.17; 379/88.22

FIELD-OF-SEARCH: 379/88.13, 379/88.17, 379/88.22, 379/90.01, 379/93.01, 379/93.03, 379/93.24, 379/100.08, 379/100.14, 379/201, 379/216, 379/355, 379/265, 379/266

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PAT-NO	ISSUE-DATE	PATENTEE-NAME	US-CL
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<u>6026087</u>	February 2000	Mirashrafi et al.	379/88.17 X
<u>6031836</u>	February 2000	Haserodt	379/93.01 X

ART-UNIT: 265

PRIMARY-EXAMINER: Weaver; Scott L.

ATTY-AGENT-FIRM: Hunton and Williams

## ABSTRACT:

A centralized voice service bureau is provided. The voice service bureau accepts and authenticates requests to place automated telephone calls, for example, interactive voice broadcasts. The requests are sent through the Internet or other computer network and contain structure and content sufficient to drive a text-to-speech engine. The call requests are queued and processed by a call server that establishes a connection with a user and generates speech from the content of the call request.

56 Claims, 14 Drawing figures

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	KWNC
Draw Desc	Image									

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☐ 11. Document ID: US 6246981 B1

L15: Entry 11 of 12

File: USPT

Jun 12, 2001

US-PAT-NO: 6246981

DOCUMENT-IDENTIFIER: US 6246981 B1

TITLE: Natural language task-oriented dialog manager and method

DATE-ISSUED: June 12, 2001

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Papineni; Kishore A.	Yonkers	NY		
Roukos; Salim	Scarsdale	NY		
Ward; Robert T.	Croton-on-Hudson	NY		

## ASSIGNEE-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY	TYPE	CODE
International Business Machines Corporation	Armonk	NY				02

APPL-NO: 09/ 200098 [PALM]

DATE FILED: November 25, 1998

INT-CL: [07] G10 L 15/26, G10 L 15/22

US-CL-ISSUED: 704/235; 704/275

US-CL-CURRENT: 704/235; 704/275

FIELD-OF-SEARCH: 704/275, 704/270, 704/235, 706/61

PRIOR-ART-DISCLOSED:

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PAT-NO	ISSUE-DATE	PATENTEE-NAME	US-CL
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<u>5231670</u>	July 1993	Goldhor et al.	704/275
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FOREIGN-PAT-NO	PUBN-DATE	COUNTRY	US-CL
0123456 A2	January 2000	EP	100/100

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ART-UNIT: 261

PRIMARY-EXAMINER: Smits; Talivaldis I.

ATTY-AGENT-FIRM: F. Chau & Associates, LLP

## ABSTRACT:

A system for conversant interaction includes a recognizer for receiving and processing input information and outputting a recognized representation of the input information. A dialog manager is coupled to the recognizer for receiving the recognized representation of the input information, the dialog manager having task-oriented forms for associating user input information therewith, the dialog manager being capable of selecting an applicable form from the task-oriented forms responsive to the input information by scoring the forms relative to each other. A synthesizer is employed for converting a response generated by the dialog manager to output the response. A program storage device and method are also provided.

36 Claims, 6 Drawing figures

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments
Draw Desc	Image								

KIMC

☐ 12. Document ID: US 6091835 A

L15: Entry 12 of 12

File: USPT

Jul 18, 2000

US-PAT-NO: 6091835

DOCUMENT-IDENTIFIER: US 6091835 A

TITLE: Method and system for transcribing electronic affirmations

DATE-ISSUED: July 18, 2000

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Smithies; Christopher P. K.	Corfe Mullen			GB
Newman; Jeremy M.	Frome, Somerset			GB
Wright; Benjamin	Dallas	TX		

## ASSIGNEE-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY	TYPE CODE
PenOp Limited	Somerset			GB	03

APPL-NO: 09/ 024835 [PALM]

DATE FILED: February 17, 1998

## PARENT-CASE:

RELATED APPLICATIONS This application is a continuation-in-part of U.S. patent application Ser. No. 08/859,626, filed May 20, 1997 now U.S. Pat. No. 5,818,955, which is a continuation of U.S. application Ser. No. 08/644,084, filed May 9, 1996 (now issued as U.S. Pat. No. 5,544,255) which is a continuation of U.S. application Ser. No. 08/298,991, filed Aug. 31, 1994 (now issued as U.S. Pat. No. 5,647,017).

INT-CL: [07] G06 K 9/00

US-CL-ISSUED: 382/115; 382/232, 340/825.34, 380/23

US-CL-CURRENT: 382/115; 340/5.86, 382/232

FIELD-OF-SEARCH: 382/115, 382/116, 382/117, 382/118, 382/119, 382/120, 382/121, 382/122, 382/123, 382/232, 178/18.01, 340/825.3, 340/825.33, 340/825.34, 283/70, 283/75, 380/23

PRIOR-ART-DISCLOSED:

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ART-UNIT: 271

PRIMARY-EXAMINER: Johns; Andrew W.

ATTY-AGENT-FIRM: Kenyon & Kenyon

#### ABSTRACT:

The invention presents a method and system for recording a detailed record or "transcript" of the acts, events and forensic circumstances related to a party's affirmation of an electronic document, transaction or event. The transcript is recorded in a data object made secure through the use of encryption and a checksum. The system directs a ceremony whereby the party affirming the document, transaction or event is required to undertake a series of steps in order to successfully complete the affirmation and have the affirmation recorded; thus participation in the ceremony must take place before an affirmation will be accepted. The steps of the controlled procedure serve to gather evidence to confirm specifics such as that the affirming party: i) is in fact the identified party; ii) understands that by entering affirming data, e.g. a password, key, biometric sample or

other affirming data he or she is thereby affirming or becoming legally accountable for the undertakings of the document, transaction or event triggered by computer interaction; iii) has adequately reviewed the document, transaction or statement to

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(dialog or dialogue) and graph and speech

**Results:**Journal or Magazine = **JNL** Conference = **CNF** Standard = **STD**

### 1 Spontaneous dialogue speech recognition using cross-word context constrained word graphs

*Shimizu, T.; Yamamoto, H.; Masataki, H.; Matsunaga, S.; Sagisaka, Y.;*  
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Page(s): 393 -396 vol.1

[\[Abstract\]](#) [\[PDF Full-Text \(332 KB\)\]](#) **IEEE CNF**

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*Hacioglu, K.; Ward, W.;*  
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**6 Syllable-based acoustic-phonetic decoding and word hypotheses generat**  
**in fluently spoken speech**

*Hoge, H.; Littel, B.; Marschall, E.; Schmidbauer, O.; Sommer, R.;*

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# An end-user oriented approach to design man-machine interfaces for CAD/CAM.

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Jean-Pierre JUNG  
Benoît MARTIN

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**Abstract** This paper describes the design, the specification and the implementation of a new type of graphical system in order to design a dialog architecture : SACADO. This system is an Adaptative System for Computer Aided Design and Development. Thus, it can be considered as :

- a CAD/CAM tool.
- a basis of CAD/CAM systems development (this point of view is generic; in such a manner, any SACADO systems are constructed with the same methodology and the same tools).

Features of the system include a hierarchical structure of the dialog with special effects of menus and a capability to allow immediate modification of the dialog specifications.

This original approach, based on different kinds of menus and a single interaction, permits an end-user to design interfaces for CAD/CAM systems without any knowledge in computer science.

Moreover, an overview is included on the technique used in the implementation of the dialog interpreter, which involves an intensive use of syntactic grammars.

## 1. INTRODUCTION

Recent researches show the increasing importance of the design ([1], [2], [3], [4]) and evaluation ([5], [6]) of high-quality user interfaces. A lot of papers speak about dialog specification languages ([7], [8]) and User Interface Management Systems.

In CAD/CAM systems, the man-machine dialog has an important place too. At first, the dialog permits the description of the interactions between the end-user and the system. Secondly, it can be a basic tool for the description of the system architecture.

In fact, we remark that the execution of the actions in any interactive system is sequential except when the end-user interferes : in this case, the context of the system can change completely.

This work describes the basic elements for a new approach of CAD/CAM systems development : SACADO ([9]). We will not tell about every aspect of SACADO, but we will only emphasize on interactive tools for the construction of systems ("generators") and the Dialog and Architecture Generator (DAG), in particular.

## II. SACADO

At first, we must characterise the user of such a system in order to know his needs. We distinguish three kinds of users :

- the end-user (also called "operator").
- the interface programmer.
- the application programmer.

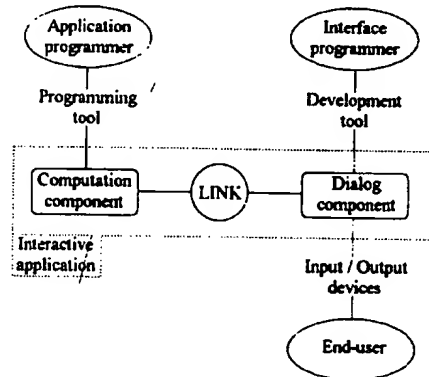


Fig. 1. Users of Interactive Application

The end-user is able to use the interface built by the interface programmer (or participate to design the interface) and the programs developed by the application programmer (we suppose that the interface programmer is an expert in his own domain of application, but not in CAD/CAM technology).

So, in order to bring these users together, we decided to develop SACADO which makes the duality application / dialog easier.

Secondly, we present the architecture model used in SACADO. Such a model gives a generic structure to the interface in order to design an interactive system ([10],

[11], [12]). In particular, it must describe the data exchanges between the end-user and the application, the data transformation steps and the sequence of the module doing these transformations ([6], [13]). The model we chose for SACADO is based on the Seeheim architecture model ([14], [5], [15], [2]) :

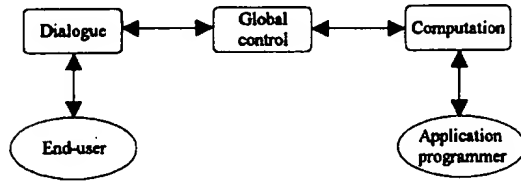


Fig. 2. Seeheim architecture model

The three logic components can be described by :

- computation : it is defined by the functionalities of the considered system; it doesn't contain any dialog and is written in a classic programming language like C++ or PASCAL.
- dialog : it contains all the information about the external aspect of the man-machine interface.
- global control : aided by a set of rules, it manages the transition between the dialog and the computation components.

In a third part, SACADO is constructed around two kinds of generators ([9]) :

- primary generators : their goal is to complete a class of actions already implemented.
- application generators : their aim is to create a new implementation. Each component of the system has to be defined; the dialog must be described and the actions must be created.

The main difference between these two kinds of generators is that primary generators enrich any existing implementation, while application generators facilitate the definition of new kinds of actions or dialogs without - a priori - any knowledge on an implementation scheme.

### III. THE DIALOG AND ARCHITECTURE GENERATOR (DAG)

The DAG has two objectives :

- implement the software architecture.

- describe the dialog of the considered implementation.

On one hand, it must follow few dialog principles :

- all applications developed with the DAG have to use the same dialog concepts in order to guaranty the independence versus applications.
- a minimum of constraints has to be prescribed to the end-user in order to facilitate the use of the system.
- the end-user has to be limited the least possible in his behaviours; thus, a minimum of ajustement is required from him.

On the other hand, the DAG must help for the development of applications :

- the definition of the dialog is interactive through an interactive tool usable without learning any textual language.
- the extension of the system is facilitated by the immediate test of the new architecture.

#### A. The single interactive primitive : INTERACTION

A CAD/CAM system has a complex functions model whose execution is constantly interrupted by actions of the end-user (called interactions). Thus, to link the dialog and the possible end-user actions (with maximum freedom), we have considered a single interactive primitive called INTERACTION. Every interaction will be executed by a call to this primitive with appropriate parameters; so, the dialog is events driven (every end-user interaction is a data or considered like data) .

The result of an interaction will be determined according to the different behaviours of the end-user :

- menu choosing : it is considered as a choice (following specific definitions, presented in III.C).
- in any other cases, the result will be according to the end-user specifications, such as :
  - . only co-ordinates.
  - . co-ordinates and object selected (with eventually a mask on classes of objects).
  - . alphanumeric, ...

This methodology is quite different from the standard tools ones: in fact, it's a higher level approach which could be based on several tools like GKS or PHIGS for the graphic part.



### B. The actions

In most systems, we can distinguish two kinds of actions; an action can be :

- interactive : it contains at least one interaction. Such action can eventually be interrupted.
- non interactive : it doesn't contain any call to INTERACTION. Such an action can't be interrupted by the end-user.

We define an action by an INTERACTIONS graph which nodes are interactions and arcs are labelled by non interactive actions.

Thereafter in the paper, we will use "action" in lieu and place of interactive or non interactive action.

### C. The menus

We consider a set of menus by a hierarchical structure (n-ary tree) where nodes are general concepts and leaves elementary functionalities ([16], [17] and [18]).

All the defined menus of the considered system is called the application domain. This domain can be composed by several sets of menus. One of these sets, called main domain, defines the considered system and contains the basis menus; this set contains the menus which can be directly chosen by the end-user at the beginning of the application. The others sets are called annex domains; they permit the description of functionalities only used in a particular context and thus, they can't be chosen without passing through a menu of the main domain.

#### a. Classes of menus

Two classes of menus are defined; one menu can be :

- terminal : it is associated to an action.
- non terminal : it has sons (menus, eventually preceeded and followed by non interactive actions).

At any moment, a menu is valid (it can be chosen) or not valid.

#### b. Effects of menus

As seen at the beginning, the end-user may only influence the execution of the system when he interferes; thus, let's enumerate the different behaviours that a end-user may have when an interaction is required :

- to respond directly by a valid object (this is the standard behaviour).
- to execute a new action leaving the current action.
- to execute a new action without leaving the current action (by this way the current action is only suspended while the new action is executed; the zoom is an example of such an action).
- to execute a new action to respond to the interaction; in this case, the new action constructs the object required by the interaction (for example, the construction of a forbidden object).

To allow the three last cases, we introduce several menu effects. Each valid menu may have the three following kinds of effects for any given interaction :

- local : when such a menu is chosen, the execution of the associated action starts and, at the end, the object created by the actions of the local menu is considered as the result of the interaction.

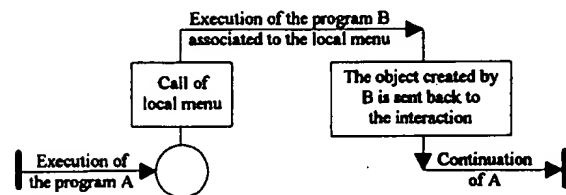


Fig. 3. Local effect

- immediate : when such a menu is chosen, the associated action is executed and, at the end, no object is sent back to the interrupted interaction by the actions of the immediate menu; thus, the interaction is reactivated.

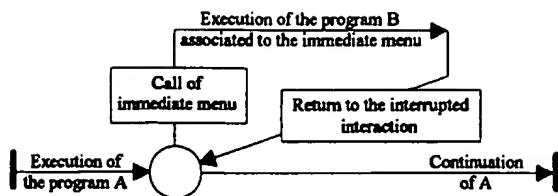


Fig. 4. Immediate effect

- **differing** : when such a menu is chosen, the current action is stopped (usually it failed but it must be terminated in good conditions) and the actions or sub-menus associated to the chosen menu are activated.

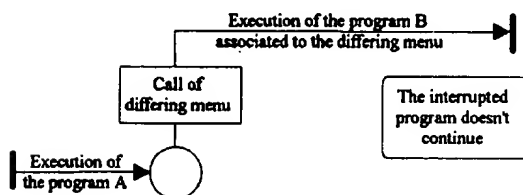


Fig. 5. Differing effect

Now, we define the term "compatibilities" by the set of effects defined for a menu or an interaction (local, immediate and differing).

The interface programmer can define the compatibilities between menus, the compatibilities inheriting from the father to his sons by respecting the following rules :

- except opposite indications, a son menu inherits his father's compatibilities.
- except opposite indications, the interactions inherit the compatibilities of the associated terminal menu.

But he can also define these compatibilities directly for the interactions.

Of course, these two methods can be mixed; in this case, we tell about refinement : the interface programmer specifies compatibilities obtained by inheritance.

#### c. Menus' complete structure

The menus' complete structure is obtained by the union between the domains (main and annex) and the compatibilities schemes.

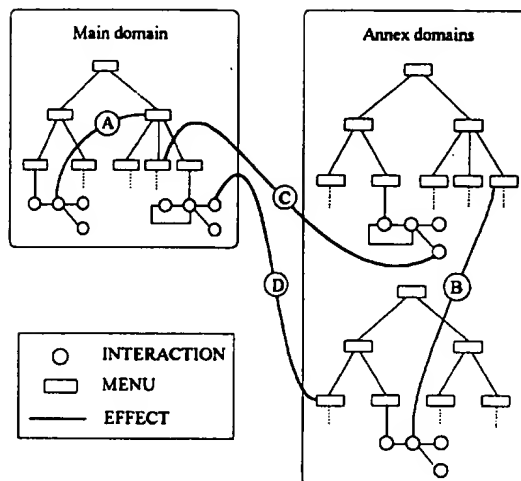


Fig. 6. Menu's complete structure

We must introduce one restriction concerning the different effects : by choosing a differing menu, it comes to its selection directly in its domain. But, as seen before, a menu of an annex domain can't be directly chosen by the end-user. So, we must forbid the use of the effect differing for all menus of the annex domains (links B and D in the above scheme). In the other cases (links A and C), there is no restriction.

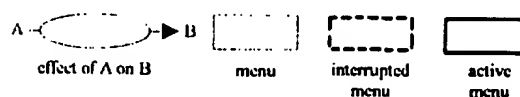
The other effects (local and immediate) don't cause any trouble and can be used in all cases.

#### G. Example of a dialog

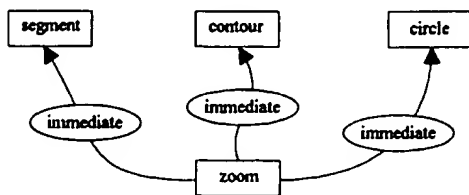
The following example presents the effect of an immediate menu and the flexibility of the SACADO architecture.

We suppose that the action of the menu contour is constituted by a loop on one interaction that asks for one object and this loop stops when the contour is closed.

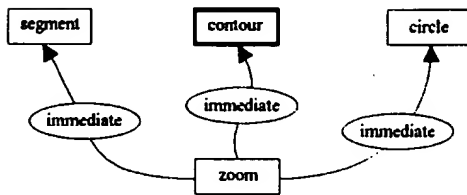
The following symbols are used :



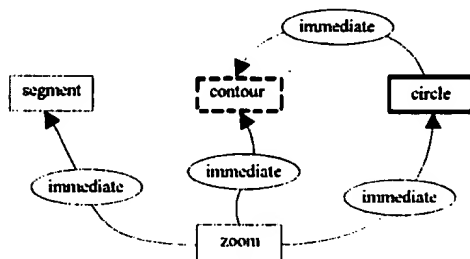
# State of the SACADO architecture



Main domain proposed to the end-user

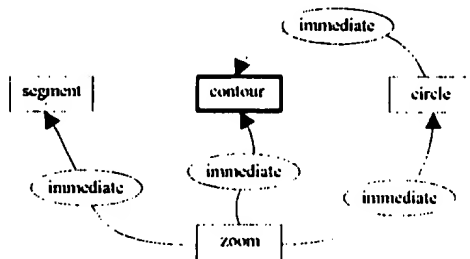


The end-user has chosen the menu contour



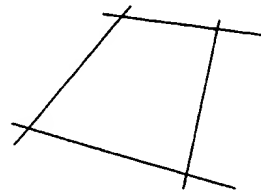
The end-user has added an immediate link between menus contour and circle

The end-user has chosen the menu circle (the menu contour is suspended)

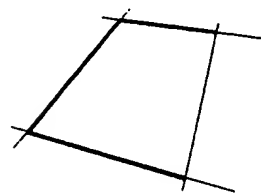


The control has returned to the menu contour

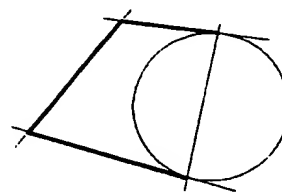
# CAD/CAM model



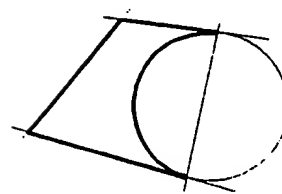
Objects modelled by the end-user



The end-user has chosen the three first segments of the contour



The circle has been created and drawn



The end-user has chosen the circle and the contour is now finished: thus, the action of the menu contour stops

#### IV. CONCLUSION

An end-user oriented method to design interfaces for CAD/CAM system has been described. It is based on an original approach with different kinds of menus and a single interaction. Its principal interest is to permit an application oriented definition of the dialog and of the architecture of the software. The dialog can be interactively modified by the end-user to be in accordance with its view of the application and its degree of knowledge.

This approach has been soon proved to be interesting through a prototype of SACADO. This paper has described a more formalized version using NADRAG. The NADRAG language is invisible for the end-user, but is necessary in order to model the dialog. An interactive graphic interface (based on X) is offered to model the menus and the architecture of the interaction.

The NADRAG language is completely defined (although not described in this paper) and the interactive tool to describe the menus is operational. The last tool (description of the architecture of the interaction) is currently developed.

Future work will focus on the integration of these tools in a coherent system and its use to implement a complete application oriented CAD/CAM system.

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be affirmed (where a client application presents such a document transaction or statement to the system of the present invention); and iv) understands the undertaking of an event or the provisions within the document, transaction or statement and the consequences of affirming it. The system of the present invention is flexible and can be configured to accept all types of biometric, infometric and cryptographic signatures or affirming acts, such as those created by passwords, secret cryptographic keys, unique secret numbers, biometric recordings such as handwritten signatures or other biometric information, or multi-media recordings of affirming statements. It also permits the affirmation procedure to be tailored to the specifics of a client application through the use of an authentication policy component.

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